

RECEIVED  
CENTRAL FAX CENTER

OCT 26 2004

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Serial No. : 09/925,673  
Applicants : Masakatsu KANEKO et al.  
Filed : August 9, 2001  
For : NOVEL NUCLEOSIDE AND  
OLIGONUCLEOTIDE ANALOGUES  
Art Unit : 1623  
Examiner : Howard Owens, Jr.  
Docket No. : 01376CIP/HG  
Confirm. No.: 4630  
Customer No.: 01933

CERTIFICATE OF FACSIMILE  
TRANSMISSION  
PTO NO. 1-703-872-9306

TOTAL PAGES: 10  
I hereby certify that this  
paper is being facsimile  
transmitted to the  
Commissioner for Patents,  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on the date noted below.

  
Attorney: Richard S. Barth

Dated: October 26, 2004

In the event that this Paper  
is late filed, and the  
necessary petition for  
extension of time is not filed  
concurrently herewith, please  
consider this as a Petition  
for the requisite extension of  
time, and to the extent not  
tendered by check attached  
hereto, authorization to  
charge the extension fee,  
or any other fee required  
in connection with this  
Paper, to Account No. 06-1378.

**RECORD OF SUBSTANCE OF  
INTERVIEW BY APPLICANTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

In response to the requirement that applicants provide a  
statement of the substance of the October 25, 2004 telephone  
interview, applicants provide the following statement:

A telephone interview was held on October 25, 2004 between  
Examiner Owens and the undersigned. The purpose of the telephone  
interview was to (i) determine the status of the above-identified  
application and (ii) discuss discrepancies in the entries in the  
"PAIR" system between the "File History" ("PALM") and the "Image  
File Wrapper" ("EDAN") on the USPTO website.

Copies of the File History and the Image File Wrapper for Application Serial No. 09/925,673 that were printed from the USPTO website are being transmitted herewith.

The enclosed File History indicates that the application was received in the Publication Branch on September 14, 2004 and that a Notice of Allowance was mailed on August 20, 2004. The undersigned did not receive a Notice of Allowance dated August 20, 2004. A Notice of Allowance which was mailed on August 11, 2004 was received by the undersigned (such August 11, 2004 Notice of Allowance is not listed in the File History). The File History does not indicate the filing of the following papers by the applicants: (i) RCE, Information Disclosure Statement and Amendment filed on August 17, 2004; (ii) Information Disclosure Statement filed on September 16, 2004; and (iii) Information Disclosure Statement filed on September 30, 2004.

With respect to the RCE filed on August 17, 2004, there is the following entry in the File History:

"8-19-2004 Express Abandonment (for Entry of CPA/RCE/Rule 129)".

The Image File Wrapper for Application Serial No. 09/925,673 correctly indicates the following entries:


"09/30/2004 Information Disclosure Statement"  
"09/16/2004 Information Disclosure Statement"  
"08/17/2004 Information Disclosure Statement"

"08/17/2004 Request for Continued Examination (RCE)"  
"08/17/2004 Claim"  
"08/17/2004 Applicant Arguments or Remarks  
Made in an Amendment"  
"08/11/2004 Notice of Allowance".

It is respectfully requested that the "File History" (PALM)  
for the above-identified application be corrected and that  
applicants' papers filed on and after August 17, 2004 be promptly  
acted upon.

Respectfully submitted,

Frishauf, Holtz, Goodman  
& Chick, P.C.  
767 Third Ave., 25th Floor  
New York, NY 10017-2023  
Tel. No.: (212) 319-4900  
Fax No.: (212) 319-5101

  
Richard S. Barth  
REG. NO. 28,180

E-Mail Address: BARTH@FHGC-LAW.COM  
RSB/ddf

Encs.: (1) copy of File History (PALM)  
for Serial No. 09/925,673  
  
(2) copy of Image File Wrapper for  
Serial No. 09/925,673



United States Patent and Trademark Office

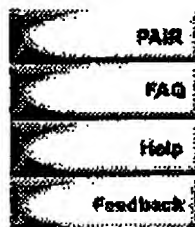
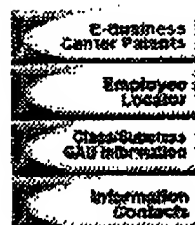
[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)**PATENT APPLICATION INFORMATION RETRIEVAL****Other Links**

Image File Wrapper for Application No.: 09/925,673		
Mail Room Date	Document Description	Document Category
09/30/2004	Information Disclosure Statement	PROSECUTION
09/16/2004	NPL Documents	PROSECUTION
09/16/2004	Information Disclosure Statement	PROSECUTION
08/17/2004	Information Disclosure Statement	PROSECUTION
08/17/2004	Miscellaneous Incoming Letter	PROSECUTION
08/17/2004	Applicant Arguments or Remarks Made in an Amendment	PROSECUTION
08/17/2004	Claim	PROSECUTION
08/17/2004	Request for Continued Examination (RCE)	PROSECUTION
08/11/2004	Claims recorded on File Wrapper	PROSECUTION
08/11/2004	Search info on File Wrapper	PROSECUTION
08/11/2004	Issue Information on File Wrapper	PROSECUTION
08/11/2004	List of References cited by Applicant	PROSECUTION
08/11/2004	Notice of Allowance	PROSECUTION
08/11/2004	Notice of Allowance	PROSECUTION
04/13/2004	NPL Documents	PROSECUTION
04/13/2004	NPL Documents	PROSECUTION
04/13/2004	NPL Documents	PROSECUTION
04/13/2004	Miscellaneous Incoming Letter	PROSECUTION
04/13/2004	NPL Documents	PROSECUTION
04/13/2004	Information Disclosure Statement	PROSECUTION
04/13/2004	NPL Documents	PROSECUTION
04/13/2004	NPL Documents	PROSECUTION
04/13/2004	Request for Continued Examination (RCE)	PROSECUTION
04/13/2004	Change of Address	PROSECUTION
04/02/2004	Miscellaneous Action with SSP	PROSECUTION

03/04/2004	Printer Rush Response	PROSECUTION
02/12/2004	Information Disclosure Statement	PROSECUTION
02/12/2004	NPL Documents	PROSECUTION
02/12/2004	Foreign Priority Papers Filed	PROSECUTION
01/28/2004	Notice of Allowance	PROSECUTION
01/28/2004	List of References cited by Applicant	PROSECUTION
09/10/2003	Claim	PROSECUTION
09/10/2003	Amendment	PROSECUTION
09/10/2003	Applicant Arguments or Remarks Made in an Amendment	PROSECUTION
09/03/2003	Foreign Reference	PROSECUTION
09/03/2003	List of References cited by Applicant	PROSECUTION
09/03/2003	Information Disclosure Statement	PROSECUTION
08/25/2003	NPL Documents	PROSECUTION
08/25/2003	Information Disclosure Statement	PROSECUTION
07/29/2003	List of References cited by Applicant	PROSECUTION
07/29/2003	List of references cited by Examiner	PROSECUTION
07/29/2003	NPL Documents	PROSECUTION
07/29/2003	Foreign Reference	PROSECUTION
07/29/2003	Non-Final Rejection	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	Foreign Reference	PROSECUTION
06/05/2003	Information Disclosure Statement	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION
06/05/2003	NPL Documents	PROSECUTION

[illegible]

[illegible]

01/09/2002	NPL Documents	PROSECUTION
12/31/2001	Oath or Declaration filed	PROSECUTION
12/31/2001	Miscellaneous Incoming Letter	PROSECUTION
11/30/2001	Foreign Reference	PROSECUTION
11/30/2001	Foreign Reference	PROSECUTION
11/30/2001	Foreign Reference	PROSECUTION
10/19/2001	Miscellaneous Action with SSP	PROSECUTION
10/09/2001	CRF Sequence Listing Filed	PROSECUTION
08/17/2001	CRF Sequence Listing Filed	PROSECUTION
08/09/2001	Sequence List	PROSECUTION
08/09/2001	Abstract	PROSECUTION
08/09/2001	Issue Information on File Wrapper	PROSECUTION
08/09/2001	Search info on File Wrapper	PROSECUTION
08/09/2001	Claims recorded on File Wrapper	PROSECUTION
08/09/2001	Transmittal of New Application	PROSECUTION
08/09/2001	Specification	PROSECUTION
08/09/2001	Claim	PROSECUTION
08/09/2001	Foreign Priority Papers Filed	PROSECUTION
08/09/2001	Claims Worksheet (PTO-2022)	PROSECUTION
08/09/2001	Fee Worksheet (PTO-875)	PROSECUTION
08/09/2001	Fee Worksheet (PTO-875)	PROSECUTION
08/09/2001	Transmittal of New Application	AS FILED
08/09/2001	Specification	AS FILED
08/09/2001	Claim	AS FILED
08/09/2001	Abstract	AS FILED
08/09/2001	Sequence List	AS FILED

Sorted By : Document Category

[HOME] [INDEX] [SEARCH] [eBUSINESS] [CONTACT US] [PRIVACY STATEMENT]

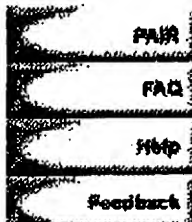




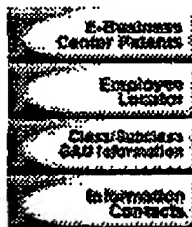
## United States Patent and Trademark Office

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz alerts | News | Help

## PATENT APPLICATION INFORMATION RETRIEVAL



## Other Links



Printer Friendly Version

Search results as of: 10-25-2004::11:50

## Search results for application number: 09/925,673

Application Number:	09/925,673	Customer Number:	1933
Filing or 371(c) Date:	08-09-2001	Status:	Notice of Allowance Mail Application Received in C Publications
Application Type:	Utility	Status Date:	09-14-2004
Examiner Name:	OWENS JR, HOWARD V	Location:	ELECTRONIC
Group Art Unit:	1623	Location Date:	-
Confirmation Number:	4630	Earliest Publication No:	US 2002-0147332 A1
Attorney Docket Number:	01376CIP/HG	Earliest Publication Date:	10-10-2002
Class/ Sub-Class:	536/026.1	Patent Number:	-
First Named Inventor:	Masakatsu Kaneko, Yokohama-shi, (JP)	Issue Date of Patent:	-
Title Of Invention:	NOVEL NUCLEOSIDE AND OLIGONUCLEOTIDE ANALOGUES		

Select Search Option

☐ Assignments  
☐ Continuity Data  
☐ Foreign Priority  
☐ Image File Wrapper  
☐ Patent Term Adjustment History  
☐ Publication Review

Search

## File History

Date	Contents Description
10-21-2004	Workflow - Query Request - Begin
09-14-2004	Receipt into Pubs
09-07-2004	Sequence Moved to Public Database
08-23-2004	Workflow - File Sent to Contractor
08-19-2004	Issue Revision Completed
08-20-2004	Mail Notice of Allowance
08-19-2004	Notice of Allowance Data Verification Completed
08-19-2004	Notice of Allowability
08-19-2004	Date Forwarded to Examiner

04-13-2004	Request for Continued Examination (RCE)
08-19-2004	Express Abandonment (for Entry of CPA / RCE / Rule129)
08-09-2004	Case Docketed to Examiner in GAU
04-13-2004	Workflow - Request for RCE - Finish
04-26-2004	Receipt into Pubs
04-13-2004	Workflow incoming amendment IFW
04-13-2004	Workflow - Request for RCE - Begin
04-05-2004	Workflow - Query Request - Finish
04-02-2004	Mail Acknowledgement of Priority Papers
04-01-2004	Acknowledgement of Priority Papers
03-16-2004	Receipt into Pubs
03-11-2004	Workflow - Query Request - Begin
02-26-2004	Sequence Forwarded to Pubs on Tape
02-20-2004	Receipt into Pubs
02-18-2004	Workflow - File Sent to Contractor
02-18-2004	Receipt into Pubs
01-28-2004	Mail Notice of Allowance
01-26-2004	Notice of Allowance Data Verification Completed
01-26-2004	Notice of Allowability
10-09-2003	Date Forwarded to Examiner
09-10-2003	Response after Non-Final Action
09-03-2003	Information Disclosure Statement (IDS) Filed
06-05-2003	Information Disclosure Statement (IDS) Filed
08-25-2003	Information Disclosure Statement (IDS) Filed
07-29-2003	Mail Non-Final Rejection
07-28-2003	Non-Final Rejection
05-06-2003	Information Disclosure Statement (IDS) Filed
05-12-2003	Date Forwarded to Examiner
05-05-2003	Response to Election / Restriction Filed
03-31-2003	Information Disclosure Statement (IDS) Filed
03-26-2003	Mail Restriction Requirement
03-24-2003	Requirement for Restriction / Election
10-10-2002	Case Docketed to Examiner in GAU
10-01-2002	Case Docketed to Examiner in GAU
01-09-2002	Information Disclosure Statement (IDS) Filed
09-18-2002	Case Docketed to Examiner in GAU
03-11-2002	Information Disclosure Statement (IDS) Filed
01-14-2002	Information Disclosure Statement (IDS) Filed
01-09-2002	Information Disclosure Statement (IDS) Filed
01-31-2002	Case Docketed to Examiner in GAU
01-11-2002	Application Dispatched from OIPE
01-11-2002	Application is Now Complete
10-19-2001	Notice Mailed--Application Incomplete--Filing Date Assigned
10-18-2001	Correspondence Address Change
10-10-2001	Error(s) in CRF Corrected by STIC
08-21-2001	IFW Scan & PACR Auto Security Review
08-09-2001	CRF Disk Has Been Received by Preexam / Group / PCT
08-09-2001	Initial Exam Team nn

[HOME](#) | [INDEX](#) | [SEARCH](#) | [BUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)